D.O. No. 20034/290/2013-CIS-I (Part-V)  
03rd October, 2019

Dear Secretary,

As you are aware, companies and state owned companies from certain countries are making investment under automatic route in various important sectors of economy, which may be potentially sensitive. In some cases, such companies are making investment in areas which are geographically sensitive or in sectors which are sensitive. Needless to say, such proposals need to be subjected to close and careful scrutiny from the national security perspective.

2. I take this opportunity to apprise that Ministry of Home Affairs has, over the years, evolved a reliable and robust mechanism of assessing security aspects in respect of such companies and proposals, in an expeditious manner.

3. It would be advisable to forward such proposals to Ministry of Home Affairs for assessment of security clearance before allowing such companies to make investment or awarding them contracts for project execution. A copy of the format for seeking national security clearance is enclosed for ready reference.

Yours sincerely,

(Encl: as above)

Shri Gopal Krishna  
Secretary  
Ministry of Shipping  
Transport Bhawan, Sansad Marg  
New Delhi-110001

[Signature]

(Ajay Bhalla)
### Details of Criminal Cases, if any, against the Company / Director(s) as per Annexure

<table>
<thead>
<tr>
<th>Company Name</th>
<th>% of Shares Held in the Company</th>
<th>Nationality</th>
<th>Present Address</th>
<th>Permanent Address</th>
<th>Father/Mother Name</th>
<th>Date of Birth</th>
<th>Parentage</th>
<th>Full Name</th>
<th>S.No.</th>
</tr>
</thead>
</table>

Details of Shareholders having more than 10% shareholding in the applicant company (all firms/companies/entities):

<table>
<thead>
<tr>
<th>Name</th>
<th>Full Name</th>
<th>Date of Birth</th>
<th>Parentage</th>
<th>Present Address</th>
<th>Permanent Address</th>
<th>Nationality</th>
<th>Father/Mother Name</th>
<th>Telephone number</th>
<th>Contact Address</th>
<th>Passport no.</th>
</tr>
</thead>
</table>

### Details in Respect of Directors/Key Executives:

<table>
<thead>
<tr>
<th>Name</th>
<th>Full Name</th>
<th>Date of Birth</th>
<th>Parentage</th>
<th>Present Address</th>
<th>Permanent Address</th>
<th>Nationality</th>
<th>Father/Mother Name</th>
<th>(Since When) Held With Date</th>
<th>Board of Directors and Key Executives (if applicable)</th>
</tr>
</thead>
</table>

Details in Respect of Company/Firm (Indian/Foreign):

<table>
<thead>
<tr>
<th>Name</th>
<th>Full Name</th>
<th>Date of Registration of the Company and its Previous Name</th>
<th>Address of Head Office, Regional Office and Registered Office (if any)</th>
</tr>
</thead>
</table>

### Application Program for Security Clearance (Other Proposals)
Self-declaration for company and director(s) for whom security clearance is sought

a. Name & address and registration number of the company:

b. Name and address of owners (in case of proprietorship firm) / directors of the company:

1. 
2. 
3. 
4. 

c. Is the company owners (in case of proprietorship firm) / directors listed above, the subject of any:

1. Preventive detention proceedings under Public Safety Act / National Security Act etc. : Yes / No
2. Criminal investigation in which charge sheet has been filed : Yes / No

d. If, Yes, please provide following details:

1. Case / FIR number
2. Detention / warrant number, if any,
3. Police station / district / agency
4. Sections of law under which case(s) has / have been filed
5. Name and place of the court

e. The above mentioned details are in respect of both India and any other foreign country.

(Signature)

Note: The above self-declaration is required to be filled and signed by the authorized signatory of the company.