F.No.IWAI/PL-18(8)/2019

Dated: 11.11.2019

CIRCULAR


It has been desired by the Ministry of Home through Ministry of Shipping letter dated 25.10.2019 (copy enclosed) that all such proposals of companies and State owned companies from certain countries making investment in areas which are geographically sensitive or in sectors which are sensitive, need security clearance before allowing such companies to make investment or awarding them contracts for project execution.

Accordingly, all concerned are requested to forward the inputs / information / letters as desired above by the Ministry preferably with in a week. Necessary correspondence in this regard are also enclosed for ready reference.

This issues with the approval of the Competent Authority, IWAI.

(A. Selvakumar)
Director (A&V)

Encl. As stated above

To:
1. C.E. (Tech.) / C.E. & PM(JMVP) / Hydrographic Chief/ Secretary,
2. Dir. (MM) / Dir. (IT) / Dir. (F&A) / Dir. (NER) / Dir. (Tech) / Dir. (Traffic) / Dir. (A&V) / DD (New Waterways) / DD (Resident Engineer).
4. Office In-charge, IWAI Bhubaneshwar

Copy for information to:
PPS to Chairman / PS / PA to Vice Chairman / Member (Finance) / Member (Technical) / Member (Traffic) / Hindi Section- for translation please.
No. IWT-11011/134/2018-IWT
Government of India
Ministry of Shipping

IMMEDIATE

Transport Bhawan,
1, Sansad Marg,
New Delhi-110011
Date: 25th October, 2019

To
The Chairperson
Inland Waterways Authority of India
A-13, Sector-1,
Noida-201301
(chairma.iwai@nic.in)

Sub: Investment in various sectors of economies by Companies - national security clearance -reg.

Madam,

I am directed to forward herewith a copy of D.O. letter No.20034/290/2013-CIS-I (Part V) dated 03.10.2019 from the Union Home Secretary to the Secretary (Shipping) on the above subject and to request that information in respect of the investment made by companies on development of National Waterways may please be furnished to this Ministry in the prescribed proforma by 5.11.2019 positively.

Encls: As above.

Yours faithfully,

(Satish Kumar)
Under Secretary to the Govt of India
Phone 23719422
D.O. No. 20034/290/2013-CIS-I (Part-V)  
03rd October, 2019

Dear Sir,

As you are aware, companies and state owned companies from certain countries are making investment under automatic route in various important sectors of economy, which may be potentially sensitive. In some cases, such companies are making investments in areas which are geographically sensitive or in sectors which are sensitive. Needless to say, such proposals need to be subjected to close and careful scrutiny from the national security perspective.

2. I take this opportunity to apprise that Ministry of Home Affairs has, over the years, evolved a reliable and robust mechanism of assessing security aspects in respect of such companies and proposals, in an expeditious manner.

3. It would be advisable to forward such proposals to Ministry of Home Affairs for assessment of security clearance before allowing such companies to make investment or awarding them contracts for project execution. A copy of the format for seeking national security clearance is enclosed for ready reference.

Yours sincerely,

With regards,

Encl: as above

Shri Gopal Krishna
Secretary
Ministry of Shipping
Transport Bhawan, Sansad Marg
New Delhi-110001

(Copy distributed to all)
<table>
<thead>
<tr>
<th>SL. No.</th>
<th>Full Name</th>
<th>Parentage</th>
<th>Date of Birth</th>
<th>Permanent Address</th>
<th>Present Address</th>
<th>Present Position</th>
<th>Holding/Shareholding in the Company</th>
<th>Nationality (If any)</th>
<th>Birth/Death Certificate No.</th>
<th>Father/Mother Name</th>
<th>Nationality (If any)</th>
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</thead>
</table>

III. Details of Shareholders having more than 10% Shareholding in the Applicant Company (All Firms/Companies/ent Industries):

<table>
<thead>
<tr>
<th>SL. No.</th>
<th>Full Name</th>
<th>Parentage</th>
<th>Date of Birth</th>
<th>Permanent Address</th>
<th>Present Address</th>
<th>Present Position</th>
<th>Key Executives</th>
<th>(since when)</th>
<th>Held With Date</th>
<th>Board of Directors and Company as at (whenever applicable)</th>
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II. Details in Respect of Directors/Key Executives:

<table>
<thead>
<tr>
<th>SL. No.</th>
<th>Full Name</th>
<th>Designation</th>
<th>Regional Office and Address</th>
<th>Date of Registration of the Company</th>
<th>Other Collaborators, if any</th>
<th>Previous Name of the Company/Firm (Indian/Foreign)</th>
<th>Details of other proposals</th>
</tr>
</thead>
</table>

I. Details in Respect of Company/Firm (Indian/Foreign):

Application Proforma for Security Clearance (other proposals):
Annexure

Self-declaration for company and director(s) for whom security clearance is sought

a. Name & address and registration number of the company:

b. Name and address of owners (in case of proprietorship firm) / directors of the company
   1. 
   2. 
   3. 
   4. 

c. Is the company owners (in case of proprietorship firm) / directors listed above, the subject of any
   1. Preventive detention proceedings under Public Safety Act / National Security Act etc.: Yes / No
   2. Criminal investigation in which charge sheet has been filed: Yes / No

d. If, Yes, please provide following details
   1. Case / FIR number
   2. Detention / warrant number, if any,
   3. Police station / district / agency
   4. Sections of law under which case(s) has / have been filed
   5. Name and place of the court

e. The above mentioned details are in respect of both India and any other foreign country.

(Signature)

Note: The above self-declaration is required to be filled and signed by the authorized signatory of the company.